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Attorney's Docket No.: 16454.00002 D2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Friedman et al.

Examiner : Christine J. Saoud

Serial No. : 10/780,295

Art Unit : 1647

Filed : February 17, 2004

Title : MODULATORS OF BODY WEIGHT, CORRESPONDING NUCLEIC ACIDS  
AND PROTEINS, AND DIAGNOSTIC AND THERAPEUTIC USES THEREOF

U.S. Patent and Trademark Office  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

**REVOCATION AND NEW POWER OF ATTORNEY**

Under 37 CFR §3.73(b), The Rockefeller University certifies that it is the assignee of 100% of the right, title and interest in the patent application identified above by virtue of an assignment recorded at the Patent and Trademark Office on March 10, 1995, at Reel/Frame 007373/0927. A copy of this assignment is attached.

The undersigned, whose title is supplied below, is empowered to act on behalf of the assignee.

The undersigned, acting on behalf of the assignee, hereby revokes all powers of attorney previously granted in the application and appoints the practitioners associated with:

**27890**

PTO Customer Number

with full power of substitution and revocation, to prosecute the application and to transact all business in the United States Patent and Trademark Office connected therewith.

All correspondence regarding the application should be sent to:

Harold H. Fox at PTO Customer Number **27890**

Applicant : Friedman et al.  
Serial No. : 10/780,295  
Filing Date : February 17, 2004  
Page : 2 of 2

Attorney Docket No.: 16454.00002 D2  
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Art Unit: 1647

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Respectfully submitted,

Date: 9/18/07

Kathleen A. Denis  
Name: KATHLEEN A. DENIS, Ph.D.  
Title: ASSOCIATE VICE PRESIDENT  
TECHNOLOGY TRANSFER  
The Rockefeller University

Steptoe & Johnson LLP  
1330 Connecticut Avenue, NW  
Washington, DC 20036-1795  
Telephone: 202-429-3000  
Facsimile: 202-429-3902



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

DATE: 04/24/95  
TO:

N08B

KLAUBER & JACKSON  
DAVID A. JACKSON, ESQ.  
411 HACKENSACK STREET, 4TH FLOOR  
HACKENSACK, NEW JERSEY 07601

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE U.S. PATENT AND TRADEMARK OFFICE ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT ASSIGNMENT PROCESSING SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT BRANCH, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231

ASSIGNOR: FRIEDMAN, JEFFREY M. DOC DATE: 02/14/95

ASSIGNOR: ZHANG, YIYING DOC DATE: 03/02/95

ASSIGNOR: PROENCA, RICARDO B. DOC DATE: 02/21/95

RECORDATION DATE: 03/10/95 NUMBER OF PAGES 007 REEL/FRAME 7373/0927

DIGEST: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNEE:  
ROCKEFELLER UNIVERSITY, THE  
A CORP. OF NEW YORK  
1230 YORK AVENUE  
NEW YORK, NEW YORK 10021-6399

SERIAL NUMBER 8-292345 FILING DATE 08/17/94  
PATENT NUMBER ISSUE DATE 00/00/00

*L. Stinson*  
EXAMINER/PARALEGAL  
ASSIGNMENT BRANCH  
ASSIGNMENT/CERTIFICATION SERVICES DIVISION

RECEIVED  
MAY 12 1995  
KLAUBER & JACKSON

RECORDATION FORM COVER SHEET  
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Attorney Docket No. 600-1-087

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jeffrey M. Friedman, Yiying Zhang, and Ricardo B. Proenca

- ☒ Individual(s)      ☐ Association  
☐ General Partnership      ☐ Limited Partnership  
☐ Corporation-State

Other: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment(2 Counterparts)  
☐ Merger  
☐ Security Agreement      ☐ Change of Name

Other: \_\_\_\_\_

Execution Dates: 2/14/95; 3/2/95; and 2/21/95

2. Name and address of receiving party(ies):

Name: The Rockefeller University

Address: 1230 York Avenue

New York, New York 10021-6399

- ☐ Individual(s) Citizenship  
☐ Association  
☐ General Partnership  
☐ Limited Partnership  
☒ Corporation-State New York  
☐ Other

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☒ No

(Designations must be a separate document from Assignment)

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Patent Application No.(s)

08/292,345 - Filed 8/17/94

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David A. Jackson, Esq.

Address: Klauber & Jackson

411 Hackensack Street, 4th Floor

Hackensack, New Jersey 07601

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account, if necessary

8. Deposit account number:

11-1153

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul F. Fehlner  
Name of Person Signing

Reg. No. 35,135 Signature

Mar 7, 1995  
Date

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

**ASSIGNMENT**

WHEREAS, WE,

JEFFREY M. FRIEDMAN, a citizen of the United States, residing at  
500 East 63rd Street  
Apt. 17B  
New York, New York 10021;

YIYING ZHANG, a citizen of the People's Republic of China, residing at  
30 Waterside Place  
Apt. 27F  
New York, New York 10010; and

RICARDO PROENCA, a citizen of the United States, residing at  
26-62 30th Street  
Astoria, New York 11102,

ASSIGNORS, have invented certain new and useful improvements in

**MODULATORS OF BODY WEIGHT, CORRESPONDING NUCLEIC ACIDS AND  
PROTEINS, AND DIAGNOSTIC AND THERAPEUTIC USES THEREOF**

for which we have filed an Application for Letters Patent in the United States on  
August 17, 1994 as U.S. Serial No. 08/292,345; and

WHEREAS, THE ROCKEFELLER UNIVERSITY,  
an education corporation organized and existing under  
the laws of the State of New York,  
with offices at 1230 York Avenue, New York, New York 10021-6399,

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the  
said improvements and the said application;

NOW, THEREFORE, for other good and valuable consideration, the receipt of  
which is hereby acknowledged, we the said

ASSIGNORS,

have sold, assigned, transferred and set over, and by these presents do hereby sell,  
assign, transfer and set over, to the said

ASSIGNEE,

its successors, legal representatives and assigns, the entire right, title and interest in, to  
and under the said improvements, and the said application and all divisions, renewals, and  
continuations thereof, and all Letters Patent of the United States which may be granted  
thereon and all reissues and extensions thereof, and all applications for Letters Patent  
which may hereafter be filed for said improvements in any country or countries foreign to  
the United States, including the right to claim priority under the terms of any appropriate  
International Convention based upon said application for Letters Patent of the United  
States, and all Letters Patent which may be granted for said improvements in any country  
or countries foreign to the United States and extensions, renewals and reissues thereof;

1001313 111928

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**ASSIGNEE,**

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said

**ASSIGNEE,**

its successors, legal representatives and assigns, any fact known to me respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

**ASSIGNEE,**

its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year set opposite our signatures.

Date \_\_\_\_\_, 19\_\_\_\_

**JEFFREY M. FRIEDMAN**

L.S.

State of \_\_\_\_\_ :  
County of \_\_\_\_\_ : ss.  
\_\_\_\_\_ :

Before me this       day of       1995, personally appeared JEFFREY M. FRIEDMAN, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

**Notary Public**

(Affix Seal Here)

REF ID: A67373

Date March 2, 1995

Yiyong Zhang L.S.  
YIYING ZHANG

State of Ny :  
County of Ny : ss.

Before me this 2<sup>nd</sup> day of March 1995, personally appeared YIYING ZHANG, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

TERESA L. SOLOMON  
Notary Public, State of New York  
No. 31-4989836  
Qualified in New York County  
Commission Expires Dec. 18, 1995

Teresa Solomon  
Notary Public

(Affix Seal Here)

Date \_\_\_\_\_, 19

\_\_\_\_\_  
RICARDO PROENCA L.S.

State of :  
County of : ss.

Before me this \_\_\_\_\_ day of \_\_\_\_\_ 1995, personally appeared RICARDO PROENCA, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

\_\_\_\_\_  
Notary Public

(Affix Seal Here)

REC-1373-1995-030

**ASSIGNMENT**

WHEREAS, WE,

JEFFREY M. FRIEDMAN, a citizen of the United States, residing at  
500 East 63rd Street  
Apt. 17B  
New York, New York 10021;

YIYING ZHANG, a citizen of the People's Republic of China, residing at  
30 Waterside Place  
Apt. 27F  
New York, New York 10010; and

RICARDO PROENCA, a citizen of the United States, residing at  
26-62 30th Street  
Astoria, New York 11102,

ASSIGNORS, have invented certain new and useful improvements in

**MODULATORS OF BODY WEIGHT, CORRESPONDING NUCLEIC ACIDS AND  
PROTEINS, AND DIAGNOSTIC AND THERAPEUTIC USES THEREOF**

for which we have filed an Application for Letters Patent in the United States on  
August 17, 1994 as U.S. Serial No. 08/292,345; and

WHEREAS, THE ROCKEFELLER UNIVERSITY,  
an education corporation organized and existing under  
the laws of the State of New York,  
with offices at 1230 York Avenue, New York, New York 10021-6399,

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the  
said improvements and the said application;

NOW, THEREFORE, for other good and valuable consideration, the receipt of  
which is hereby acknowledged, we the said

ASSIGNORS,

have sold, assigned, transferred and set over, and by these presents do hereby sell,  
assign, transfer and set over, to the said

ASSIGNEE,

its successors, legal representatives and assigns, the entire right, title and interest in, to  
and under the said improvements, and the said application and all divisions, renewals, and  
continuations thereof, and all Letters Patent of the United States which may be granted  
thereon and all reissues and extensions thereof, and all applications for Letters Patent  
which may hereafter be filed for said improvements in any country or countries foreign to  
the United States, including the right to claim priority under the terms of any appropriate  
International Convention based upon said application for Letters Patent of the United  
States, and all Letters Patent which may be granted for said improvements in any country  
or countries foreign to the United States and extensions, renewals and reissues thereof;

FILED  
1994  
AUG 17  
NEW YORK



and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said

ASSIGNEE,

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said

ASSIGNEE,

its successors, legal representatives and assigns, any fact known to me respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

ASSIGNEE,

its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

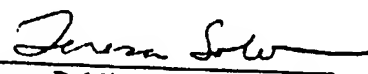
IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year set opposite our signatures.

Date February 14, 1995

  
JEFFREY M. FRIEDMAN L.S.

State of N.Y. :  
County of N.Y. : ss.  
:

Before me this 14 day of February, 1995, personally appeared JEFFREY M. FRIEDMAN, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

  
Notary Public

(Affix Seal Here)

TERESA L. SOLOMON  
Notary Public, State of New York  
No. 31-4989236  
Qualified in New York County  
Commission Expires Dec. 16, 1995

1373 100932

Date \_\_\_\_\_, 19

\_\_\_\_\_  
YIYING ZHANG L.S.

State of

County of

:  
: ss.  
:

Before me this      day of      1995, personally appeared YIYING ZHANG, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

\_\_\_\_\_  
Notary Public

(Affix Seal Here)

RECORDED  
CLERK MARK OFFICE

MAR 10 95

Date 2/21, 1995

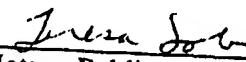
 L.S.  
RICARDO PROENCA

State of NY

County of NY

:  
: ss.  
:

Before me this 21<sup>st</sup> day of February, 1995, personally appeared RICARDO PROENCA, to me personally known to be the person who is described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

  
Notary Public

(Affix Seal Here)

TERESA L. SOLOMON  
Notary Public, State of New York  
No. 31-4989836  
Qualified in New York County  
Commission Expires Dec. 16, 12/95

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